



Recycling Program Enforcement Branch – Quarterly Update

April 21, 2015



AGENDA

- Objectives
- Probationary Reviews
- Inspections
- Investigations
- Accusations
- DOJ Investigations



OBJECTIVES

- To provide a level of transparency for compliance assurance & enforcement activities
- To provide performance metrics associated with major functional areas
- To highlight successful compliance assurance and/or enforcement activities by CalRecycle and our interagency partners



PROBATIONARY REVIEWS

STATISTICS	CY 2013	CY 2014	% Change
DORiis Auto-Generated Cases	646	1,092	69%
Recycling Centers Visited	221	227	3%
Passed	80	67	(16%)
Extended	133	151	14%
Recommendation for Revocation	4	3	(25%)
Decertified during/result of visit	4	6	50%



PROBATIONARY REVIEWS

STATISTICS	CY 2013	CY 2014	% Change
Recycling Centers Not Visited	425	865	104%
Passed	24	8	(67%)
Extended	299	430	44%
Recommendation for Revocation	0	2	100%
Not RCs	71	63	(11%)
Decertified / Abandoned	31	9	(71%)
Ongoing Investigations	0	30	100%



PROBATIONARY REVIEWS

STATISTICS	CY 2013	CY 2014	% Change
Total Assessments	\$448,090	\$883,180	97%
Restitution	\$414,140	\$840,530	103%
Civil Penalties	\$33,950	\$42,650	26%



INSPECTIONS

STATISTICS	CY 2013	CY 2014	% Change
Recycling Center Inspections	3,462	4,691	35%
Notices of Non-Compliance Issued	1,050	1,769	68%
Notices of Violation Issued	303	622	105%
Civil Penalty Assessments	\$72,100	\$109,100	51%



INSPECTIONS

STATISTICS	CY 2013	CY 2014	% Change
Dealer Inspections	154	255	66%
Notices of Non-Compliance Issued	56	82	46%
Notices of Violation Issued	11	31	182%
Civil Penalty Assessments	\$1,100	\$4,800	336%
Complaints Resolved	332	353	6%



INVESTIGATIONS

STATISTICS	CY 2013	CY 2014	% Change
Investigation Cases Initiated	117	509	335%
Investigation Cases Closed	73	487	567%
Application Denial Recommendations	19	16	(16%)
Revocation Recommendations	54	14	(74%)
DOJ Referrals	14	41	193%
Forensic Document Reviews	68	92	35%



DOJ INVESTIGATIONS

January – December 2013	Investigations Initiated	Investigations Closed	Arrests
Northern Recycling Team	3	4	10
Southern Recycling Team	11	8	9
Total	14	12	19



DOJ INVESTIGATIONS

January – December 2014	Investigations Initiated	Investigations Closed	Arrests
Northern Recycling Team	1	5	16
Southern Recycling Team	7	6	7
Total	8	11	23



REGULATORY UPDATES

- **Segregated Only Requirements**
 - DOR in process of compiling regulatory packages for internal CalRecycle / CalEPA review



ACCUSATIONS

Unresolved accusations as of March 31, 2015, filed before CY 2013:

Participant Name	Date Filed	Amount Assessed
Piccadilly Imports, Inc.	12/22/08	\$ 10,990.87
Villanueva Recycling	04/30/10	\$ 149,434.94
	TOTAL:	\$ 160,425.81



ACCUSATIONS

Unresolved accusations as of March 31, 2015 ~ filed after CY 2013:

Participant Name	Date Filed	Amount Assessed	Amended Filed	Amended Assessment
Daws Recycling Center	01/10/13	\$ 82,487.73		
J & S Recycling	02/07/13	\$ 206,258.61		
USA Recycling	04/04/13	\$ 790,775.66	04/24/13	\$ 790,786.56
Capital City Recycling	01/31/14	\$ 311,446.99		
Eagle Recycling	07/29/14	\$ 2,749,279.41		
Hip Hop Beverage Corporation	11/13/14	\$255,000.00		
	TOTALS:	\$ 4,395,248.40		\$ 4,395,248.30

* If no amendment, amount assessed on original filing is included in the total.



ACCUSATIONS

Accusations filed before CY 2014 and resolved during January – March 2015:

Participant Name	Date Filed	Amount Assessed	Date Finalized	Resolution
Alco Iron & Metal	07/19 & 09/19/13	\$7,422,339.97	03/11/15	\$1,744,563.79
	TOTAL:	\$7,422,339.97	TOTAL:	\$1,744,563.79

*



ACCUSATIONS

Accusations filed January 2015 – March 2015:

No accusations were filed during this period.



QUESTIONS ?